

## COATED LED WITH IMPROVED EFFICIENCY

## BACKGROUND OF THE INVENTION

This invention relates to a light emitting device comprising a UV or  
5 blue light emitting diode or laser diode (LED) and an excitable phosphor. More  
specifically, the present invention relates to a phosphor coated LED having a  
specific geometry disclosed for the coating designed to improve the efficiency of  
the LED.

There is currently a market for LED's for general illumination, so  
10 called "white LED's". These "white LED's" emit radiation that appears  
substantially white to those that perceive it. The most popular white LED's consist  
of blue emitting GaInN epitaxially grown layers on sapphire (single crystal  
alumina) or single crystal SiC. The blue emitting chips are coated with a phosphor  
that converts some of the blue radiation to a complimentary color, e.g. a yellow-  
15 green emission. Together the blue and yellow-green emission produces a white  
light typically with a correlated color temperature of about 5000K and a color  
rendition index, Ra, equal to about 70-75. There are also white LED's which  
utilize a UV emitting chip and phosphors designed to convert the UV radiation to  
visible light. Typically, two or more phosphor emission bands are required.

20 White phosphor coated LED's typically have package efficiencies of  
about 50-70%. The package efficiency is defined as the ratio of the actual light  
output of the LED to the light that would be obtained if all the radiation generated  
escaped from the package without being absorbed. In the invention described  
herein, package efficiencies approaching 100 % can be realized.

25 Historically, phosphor coated LED's have rather low package  
efficiencies partly because phosphor particles generate light that is radiated  
equally in all directions. Some of this light invariably is directed toward the LED  
chip, substrate, submount, and lead structure. All these elements absorb some of

this light. In addition because the phosphors typically are not perfect absorbers of long wavelength UV or blue radiation some of the initial excited radiation emitted by the LED chip itself is also reflected back onto the aforementioned structural elements. Finally in the case of UV emitting chips, in order to absorb all the UV and avoid UV bleed through, the phosphor coating must typically be relatively thick, at least 5-7 particles thick. This further increases the coating's visible reflectance. The light lost due to absorption of radiation by the LED chip, submount, reflector and lead structure limits the package efficiency.

As mentioned, typical package efficiencies are 50-70%. Hence there is a significant opportunity for improving the efficiency of LED packages if the package efficiency could be increased to near 100%. Fluorescent lamps, for example, which also utilize phosphor coatings, have package efficiencies close to 100% mainly because the light which is generated by the phosphor coating and radiated back into the lamp does not strike any absorbing structures.

Another major problem that is addressed by the present invention is phosphor coating uniformity. Current designs leading to the above-mentioned package efficiencies typically have the blue or UV emitting chip mounted on a substrate and then placed in a silver coated reflector cup. The cup is filled with a silicone or silicone epoxy with the phosphor powder embedded in it. Phosphor particles are distributed randomly in the silicone slurry, which, in addition to the above-mentioned effect of reduced package brightness due to scattering light back, the relative phosphor thickness also differs greatly over the geometry of the coating. This results in color separation in the beam pattern. It also leads to different colors for different parts due to different coating patterns and thicknesses as well as undesirable blue or yellow rings in the LED emission pattern.

The problem of phosphor coating uniformity has been addressed in U.S. Patent No. 5,959,316, in which a uniformly thick fluorescent or phosphor layer is separated from an LED chip by a transparent spacer. The entire assembly is then embedded in a transparent encapsulation epoxy resin.

Another problem that is encountered in conventional LED packages is that the efficiency of the phosphor is decreased when it is positioned in a layer on top of or adjacent the LED chip. This is due to the residual heat of the chip warming the phosphor and changing its emission characteristics. Still another

drawback to conventional LED packages is that, due to the fact that the phosphor coating is applied non-uniformly, the total amount of phosphor used is often more than is necessary for the efficient conversion of the light emitted by the chip. Phosphor compositions are relatively expensive and this additional amount  
5 increases the total cost of the LED significantly.

One way to minimize light losses in LED's is to insure that the submount, reflector and lead structure are coated with as large amount of reflecting material as possible. Most manufacturers practice this approach. Nevertheless, the LED chip itself, especially in the case of a chip with a SiC  
10 substrate, absorbs significant amounts of both its own radiation and that of the phosphor radiation. Further, other parts of the LED structure, for example the submount, are rather strongly absorbing of visible and near UV radiation. Surprisingly, even silver coated reflector and lead structure elements are somewhat absorbing of both of these radiations. Due to this absorption and the  
15 fact that so much of the radiation bounces between the phosphor coating and the LED structure, package efficiencies exceeding 50-70% are rarely realized even with coated surfaces.

One alternate approach to putting the phosphor in the silicone in a reflector cup is practiced in LumiLED's LUXEON™ LED products. In these  
20 designs, the emitting LED chip is coated with a thin conformal coating of phosphor. This arrangement reduces non-uniformity in the thickness of the coating over the chip as well as promoting LED to LED color uniformity. However, it may actually decrease the overall efficiency of the LED because the chip and submount are absorbing and more than half the radiation generated by the  
25 phosphor coating is reflected directly back onto these components.

Therefore, it would be advantageous to design a phosphor coated LED having a maximum light output by increasing the package efficiency of the LED to above 70%, and preferably close to 100%.

Further, it would be desirable to produce UV/phosphor or  
30 blue/phosphor white LED's with a uniform phosphor layer and consistent color throughput and, in the case of UV emitting chips, an LED without significant amount of UV radiation leakage to the environment.

It is further desirable to increase the efficiency of the phosphor conversion by applying a uniform coating thickness of the phosphor and positioning this coating away from the LED chip to prevent heat from the chip from being transmitted thereto.

5           In addition, it is desirable to minimize color shift of the LED due to current fluctuations. A color shift with current is often observed in phosphor coated LED's due to the high radiation flux density on the phosphor, which tends to saturate the phosphor by depleting the ground state of certain activators. In the invention described herein, by remotely coating the phosphor the blue flux density  
10           (W) from the LED chip is greatly decreased.

#### BRIEF DESCRIPTION OF INVENTION

In one aspect, the invention provides an LED lighting assembly comprising an LED chip and a phosphor coated surface, the phosphor coated  
15           surface having a surface area about at least 10 times the surface area of the LED chip.

In a second aspect, the invention provides an LED lighting assembly comprising an LED chip and a substantially uniform thickness phosphor coating applied to a transparent lens remote from the LED chip.

20           In a third aspect, the invention provides a method for forming an LED lighting assembly including the steps of providing an LED chip on a mounting surface, applying a phosphor coating to a transparent lens, and attaching said lens to said mounting surface such that light emitted from said LED chip is transmitted to said lens.

25           In a fourth aspect, the invention provides a method for forming an LED lighting assembly including the steps of dispersing a phosphor in a binder and a solvent to form a phosphor mixture, applying said phosphor mixture to a transparent lens, curing said binder, and attaching said lens to a mounting surface over an LED chip.

30           Preferably, a phosphor coating is provided which completely surrounds the LED chip and has a coverage area at least approximately 10 times the exposed area of the absorbing parts of the LED. In most cases, such as when the phosphor is coated on a hemisphere or similar geometry structure, such a

requirement is met by removing the phosphor coated surface from the chip by at least a distance 2-3 times the length of the longest side of the chip and surrounding the chip such that no radiation can escape without striking the phosphor coated surface. Radiation generated or reflected off such a coating has an increased probability of striking other parts of the coating rather than the chip, submount, etc. Hence, there is less light lost due to radiation being absorbed by these internal structures of the LED.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is perspective view of a conventional LED package assembly.

FIGURE 2 is a cross-sectional view of an LED assembly according to a first embodiment of the present invention.

FIGURE 3 is a cross-sectional view of an LED assembly according to a second embodiment of the present invention.

FIGURE 4 is a cross-sectional view of an LED assembly according to a third embodiment of the present invention.

FIGURE 5 is a cross-sectional view of an LED assembly according to a fourth embodiment of the present invention.

FIGURE 6 is a side perspective view of an LED assembly according to a fourth embodiment of the present invention.

FIGURE 7 is a side perspective view of an LED assembly according to a fourth embodiment of the present invention.

FIGURE 8 is a representation of an LED assembly according to an embodiment of the present invention depicting flux lines for radiation incident on its various surfaces.

FIGURE 9 is a cross-sectional view of a lens for a blue LED source containing a band pass filter.

FIGURE 10 is a cross-sectional view of a lens for a UV LED containing multiple band pass filters.

FIGURE 11 is a cross-sectional view of a lens containing an array of micro or macro lenses is formed on the outer surface of the lens to control the emission angle, direction or intensity of the emitted radiation.

## DETAILED DESCRIPTION OF THE INVENTION

Although the discussion below with respect to embodiments of the present invention is directed to LEDs for convenience, it should be understood that the invention relates to the use of any light emitting semiconductor. With reference to Figure 1, a conventional LED assembly is shown generally at 10. The LED assembly includes an LED chip 12 mounted on a bottom surface 14 of the LED assembly. The LED chip 12 emits radiation (typically UV or blue light in a white light LED). A lens 18 made from a transparent material surrounds the chip 12 and bottom surface 14. Two lead wires 20 connect the chip 12 to a source of power. Filling the space 22 between the lens and the chip 12 is typically an epoxy or other transparent material (not shown). Intimately dispersed within the epoxy are phosphor particles (not shown) that absorb at least a portion of the light emitted by the chip 12 and converting it to a different wavelength.

While the performance of such LEDs can be adequate for some applications, they suffer from many of the drawbacks discussed above. Thus, the embodiments disclosed below seek to overcome some of the limitations of the conventional LEDs.

With reference to Figure 2, a cross-sectional view of one embodiment of the invention is shown. In this embodiment, an LED package is provided generally at 110 and includes an LED chip 112 mounted on a submount 114, which in turn is mounted on a reflector 116. As used herein, "reflector" is meant to include not only any surface on the bottom of the LED package, but also any other structures meant to support the LED chip, e.g. a heat sink, etc. A lens 118 made from a transparent material surrounds the chip 112 and submount 114 and reflector 116. Optionally filling space 122 between the lens and the chip 112 is typically an epoxy or other transparent material. A phosphor layer 124 comprising phosphor particles is applied on an inside or outside surface of the lens 118. The coating is preferably coated on an inside surface of the lens to prevent the phosphor coating from being displaced by handling, etc. The thickness of the phosphor coating should be sufficient to convert at least a portion of the radiation emitted by the LED chip to a different wavelength. This may typically be between 6-200  $\mu\text{m}$ , with a preferred thickness being between 20-30  $\mu\text{m}$ .

The LED chip 112 can be any conventional UV or blue light LED. Such LEDs are known and typically consist of InGaN or AlGaIn layers epitaxially grown on a sapphire, alumina or single crystal SiC substrate. A preferred LED chip may have a primary emission in the range of 200-480 nm. Likewise, the phosphor layer 124 may include one or more suitable fluorescent phosphors capable of absorbing the UV or blue radiation and in turn of producing, either alone or in combination with the radiation emitted by the LED chip, a visible white or near-white light for illumination. Suitable phosphors for use in the present invention include, but are not limited to,  $\text{Y}_3\text{Al}_5\text{O}_{12}:\text{Ce}$  (YAG:Ce),  $\text{Tb}_3\text{Al}_4\text{O}_{12}:\text{Ce}$  (TAG:Ce), and  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}:\text{Eu}$  (SAE). Other white light producing phosphors are also suitable. The size of the phosphor particles is not critical, and may be, by way of example, about 3-30  $\mu\text{m}$  in diameter.

The lens 118 may be made from any material that is substantially transparent to the radiation emitted by the phosphor and the LED chip. Thus, depending on the wavelength of the emitted radiation, the lens may comprise various materials including, but not limited to, glass, epoxy, plastic, thermoset or thermoplastic resins, or any other type of LED encapsulating material known in the art.

The providing of the phosphor coating 124 on an inside surface of the lens 118 rather than dispersed in the epoxy or other fill material provides a more uniform and efficient conversion of the LED emission. One advantage is that a uniform coating of controlled thickness may be applied. One benefit of this is that coating thickness can be accurately controlled for optimum conversion efficiency and UV bleed through (if a UV emitting chip is used) control using a minimum amount of phosphor. This helps to achieve uniform light emission without incidence of color rings resulting from non-uniform dispersion of the phosphor in prior art devices. Another benefit is that the phosphor is remote from the heat generated by the LED, further increasing the conversion efficiency. Of course, the phosphor layer may be positioned inside the lens material or have a coating of another material positioned over it, and such an arrangement is contemplated by the invention.

Although not intended to be limiting, the phosphor coating may be applied by, for example, spray coating, roller coating, meniscus or dip coating,

stamping, screening, dispensing, rolling, brushing or spraying or any other method that can provide a coating of even thickness. A preferred method for applying the phosphor is by spray coating.

5 In an exemplary technique for coating the lens and reflector parts of the LED housing, the phosphor powder is first stirred into a slurry, along with a binder and a solvent. Suitable binders include, but are not limited to, silicone, epoxies, thermoplastics, acrylics, polyimides, and mixtures thereof. Suitable solvents include, but are not limited to, low boiling point solvents such as toluene, methyl ethyl ketone (MEK), methylene chloride, and acetone. The amount of  
10 each component in the slurry is not critical, but should be chosen so as to produce a slurry that is easily applied to the lens while also containing a sufficient concentration of phosphor particles for efficient conversion of the LED radiation. An exemplary slurry can be made using about 2 parts by weight of a 6 $\mu$ m phosphor, 1.2 parts silicone, and 1 part MEK. A suitable silicone is GE XE5844.

15 The slurry is subsequently applied to the surface of the lens. The coated lens may then be baked, heated or otherwise treated to remove the solvent and cure the binder. As used herein, the term "cure" is meant to encompass not only actual curing or crosslinking of the binder, but also more generally to indicate any chemical and/or physical change in the binder to a where  
20 the phosphor particles become relatively stationary in the binder, typically due to a solidifying or hardening of the binder.

As noted above, the slurry can be applied to the lens via any suitable method. In a preferred method, the slurry is applied by spray coating. In this method, the slurry is used to fill the reservoir of a suitable air brush. The  
25 slurry is then sprayed using a pressurized spray gun onto the lens, which is preheated and kept on a hot plate at an elevated temperature preferably above the boiling temperature of the solvent, for example at about 110°C. The part is sprayed by making successive passes, which may be done at about 1/2 second per pass. The slurry dries on contact and a uniform coating is achieved. A  
30 coating approximately 4 layers thick (about 20-30  $\mu$ m using 6  $\mu$ m size phosphor particles) is achieved on the lens with 35-40 passes. The lens is then baked to cure the binder. It is planned that this approach to coating LED's would be used for any LED's for general illumination. If desired, a second coating of a



transparent material may be added over the phosphor layer to protect the phosphor or to provide an overcoating to help light extraction.

A significant improvement in light output has been achieved using blue LED's with the YAG phosphor over the conventional coating method wherein the phosphor is embedded in the slurry and uniformly applied around the chip. Clearly there are many other ways to remotely the lens surrounding an LED chip. These would be considered within the scope of this invention.

In one preferred embodiment, the lens preferably has a radius that is at least about 2-3 times the length ("L") of one side of the chip. This arrangement increases the likelihood that radiation generated or reflected off a coating applied to such a lens is more likely to strike other parts of the coating, where it will be retransmitted, rather than the chip or other non-coated area, where it will be absorbed and lost.

In a second embodiment, illustrated in Figure 3, an LED package is again provided at 210 and includes an LED chip 212 mounted on a submount 214, which in turn is mounted on a reflector 216. A lens 218 surrounds the chip 212 and submount 214 and reflector 216. Optionally filling space 222 between the lens and the chip 212 is typically an epoxy or other transparent material. To further improve efficiency, a phosphor coating 224 comprising phosphor particles is applied on an inside surface 226 of the lens 218 and on the top surface of the reflector 216. The top surface of the reflector, which may be thought of as the bottom of the package, is preferably first coated with a reflective layer 240, such as a high dielectric powder, such as, alumina, titania, etc. A preferred reflective material is  $\text{Al}_2\text{O}_3$ . The phosphor layer 224 is then placed over the reflective layer 240 on top of the reflector. The use of the reflective layer 240 serves to reflect any radiation 242 that penetrates the phosphor layer 224 on this surface. Alternately, instead of coating the transparent lens 118 with a separate phosphor layer 224, the phosphor may instead be intimately dispersed within the material comprising the transparent hemisphere.

The phosphor layer 224 over the reflective layer 240 on the reflector 216 is preferably relatively thick, i.e. >5 layers of powder, while the phosphor layer on the curved top of the hemisphere may be adjusted to achieve a desired color and to absorb all radiation incident on it. In general the phosphor layer on the top

of the hemisphere will range between 1-4 layers thick in the case of blue emitting chips in order that some of the blue radiation be emitted. In the case of UV chips the layer of phosphor coating on the hemisphere should be 4-8 layers thick in order to absorb at least most of the UV radiation emitted by the chip.

5 As shown in Figure 3, radiation from the chip 242 is prevented from leaving the structure without first striking the phosphor coated surface of the hemisphere. Further, the total phosphor coated surface area is much greater than the surface area of the emitting chip, preferably at least 10 times the exposed surface area of the absorbing parts of the LED chip. As used herein, the exposed  
10 surface area of the absorbing parts of the LED include the exposed surface area of the LED chip as well as any exposed surface of the submount not covered with a reflective layer and/or a phosphor layer.

In such an arrangement, although there may be a significant amount of blue or UV radiation scattered back into the hemisphere, nearly all this  
15 radiation, which is diffusely scattered, strikes other parts of the phosphor coating rather than the chip or submount. Most of the visible light generated by the phosphor coating also is directed back into the hemisphere. Also there is no metallic reflector and no exposed lead structure. The important feature of this geometry is that everything except the LED chip 212 is phosphor covered and the  
20 phosphor surface area of the hemisphere is much larger, preferably >10 times, the surface area of any absorbing parts of the LED. Therefore, nearly all radiation going back into the hemisphere will strike other phosphor-coated areas and be either reflected or absorbed and retransmitted by the phosphor. The embodiments disclosed herein are calculated to have an efficiency greater than  
25 70%, and in most cases approaching 100%.

In Table 1 the efficiency of this design is compared with several standard LED package geometries. These comparisons were made using a computer simulation. The computer simulation is a flux model described below. It considers all the radiation fluxes and assumes that all are diffuse so that the  
30 amount of radiation incident on any given surface is proportional to its area. As shown in Table 1 the geometry described above provides a package efficiency of essentially 100 %.